



# ANYWHERE TRANSACT Registration Cum Mandate Form



Pramerica

MUTUAL FUND

## One Time Mandate for Anywhere Transact (Purchases, Redemptions and Switches)

DISTRIBUTOR INFORMATION			
ARN Code	Sub Broker ARN code	Sub Broker Code (as allotted by ARN holder)	Employee Unique Identification Number (EUIN)
ARN -	ARN -		

In case the EUIN box has been left blank please refer to Declaration & Signature section.

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including services rendered by the distributor.

SOLE / 1 <sup>st</sup> HOLDER INFORMATION			
Name of Sole / 1 <sup>st</sup> Holder			
Application / Existing Folio Nos.			
Mobile no.*		Email ID*	
*Mandatory			

PREFERRED SCHEME & FOLIO DETAILS (Optional and Applicable for SMS Transaction only)			
Preferred Folio No.#			
Preferred Scheme Name			Plan <input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option <input type="checkbox"/> Growth* <input type="checkbox"/> Dividend	Dividend Facility <input type="checkbox"/> Payout <input type="checkbox"/> Re-investment*	Dividend Frequency <input type="checkbox"/> Daily\$ <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	
#should be from one of the existing folio nos. mentioned above *Default Option / Facility \$ Compulsory Re-investment			

<b>Maximum limit for purchase through Anywhere Transact : ₹ 5,00,000 (Rupees Five Lacs)</b>		
<p><b>DECLARATION &amp; SIGNATURE:</b> I/We have read and understood the terms &amp; conditions mentioned overleaf. I/We hereby declare that the particulars given in the form are correct and express my/our willingness to make payments referred above by debiting my/our account directly or through participation in auto debit. For this purpose I/We authorise DHFL Pramerica Mutual Fund/ DHFL Pramerica Asset Managers Private Limited (erstwhile Pramerica Asset Managers Private Limited) and its designated service providers to debit my/our account maintained with your branch. The payments should be effected to DHFL Pramerica Mutual Fund/ DHFL Pramerica Asset Managers Private Limited (erstwhile Pramerica Asset Managers Private Limited) and/or its designated service providers. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the user institution responsible. I also hereby agree to read the SID of the respective schemes &amp; SAI of DHFL Pramerica Mutual Fund before investing using this facility.</p> <p><b>AUTHORISATION TO REGISTER FOR ANYWHERE TRANSACT:</b> I/We hereby authorise DHFL Pramerica Mutual Fund/ DHFL Pramerica Asset Managers Private Limited (erstwhile Pramerica Asset Managers Private Limited) to update my/our mobile number &amp; email address in the folio number mentioned above and to register for transaction over telephone/mobile phone (call or SMS).</p> <p><input type="checkbox"/> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an 'execution only' transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.</p>		
<p><b>SIGNATURE (S)</b> Applicants must sign as per Common Application Form &amp; Mode of Holding)</p>	<p><input checked="" type="checkbox"/> Sole/1<sup>st</sup> Holder/Guardian</p>	<p><input checked="" type="checkbox"/> 2<sup>nd</sup> Holder/Guardian</p>
		<p><input checked="" type="checkbox"/> 3<sup>rd</sup> Holder/Guardian</p>

DHFL Pramerica MANDATE INSTRUCTION FORM (Please read points to note overleaf) (*Mandatory field)			
UMRN	For office use		Date* D D M M Y Y Y Y
Please tick (✓) Sponsor Bank Code	YES B0000001	Utility Code	Y E S B 0 0 1 6 8 0 0 0 0 0 3 2 4 8
CREATE <input checked="" type="checkbox"/>	I/We hereby authorize	DHFL PRAMERICA MUTUAL FUND	to debit (Please ✓) SB / CA / CC / SB-NRE / SB-NRO / Other
MODIFY <input checked="" type="checkbox"/>	Bank a/c number*		
CANCEL <input checked="" type="checkbox"/>	With Bank*	Name of customers bank	IFSC* MICR*
	an Amount of Rupees*	Amount in words	₹ In Figures
FREQUENCY*	<input checked="" type="checkbox"/> Mthly <input checked="" type="checkbox"/> Qtly <input checked="" type="checkbox"/> H-Yrly <input checked="" type="checkbox"/> Yrly <input checked="" type="checkbox"/> As & When presented	DEBIT TYPE*	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount
Reference - 1	Application no. / Folio number	Phone No	
Reference - 2		Email ID	
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.			
PERIOD*	From	x x Signature of first account holder x x Signature of second account holder x x Signature of third account holder	
	To		
OR	<input type="checkbox"/> Until Cancelled	Name of first account holder*	Name of second account holder* Name of third account holder*

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me/us. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the User entity/ corporate or the bank where I have authorized the debit.

## IMPORTANT POINTS TO NOTE

- Please mention "Direct" in the distributor information section, if the application is not routed through a distributor.
- The minimum purchase/redemption amount in the respective plan/option of the Schemes of the Fund will be applicable for each transaction.

### Post registration,

- You can invest a maximum of ₹ 5 lacs (Rupees Five lacs) at a time using Anywhere Transact. Registration of purchase mandate will take upto 30 working days. In case your bank is active on the National Automated Clearing House (NACH) payment platform, the registration for purchases will take upto 7 working days only.
- You can redeem, switch any amount at a time using Anywhere Transact (subject to terms & conditions). Registration will take upto 5 working days from the receipt of the form.
- This facility is currently available only to individual investors (i.e., Resident Indian/Sole Proprietor/Minor) with Mode of Holding as "Single" or "Any or Survivor". Please read the SID/ SAI for terms and conditions.
- NAV would be allotted based on the realization/utilisation of funds, wherever applicable, as per the prevailing SEBI regulations/circulars issued and amended from time to time.

## TERMS AND CONDITIONS FOR USE OF ANYWHERE TRANSCAT

- "Terms and Conditions" means the terms and conditions set out herewith subject to which this Facility shall be used/ availed by the Investor(s) and shall include all modifications and supplements made by DHFL Pramerica Asset Managers Private Limited ('AMC') (erstwhile Pramerica Asset Managers Private Limited) thereto from time to time. The Investor(s) shall at all times be bound by any modifications and/or variations made to these Terms and Conditions by the AMC at their sole discretion and without notice to them.
  - The transaction over telephone/mobile (Call or SMS) will be allowed only for financial transactions such as Lump sum Purchase/ Redemption / Switch of Units.
  - Investors will not be permitted to avail this Facility if the mobile no. and email ID is changed with in last 10 days from the date of transaction. Investors will not be permitted to avail this Facility for Redemptions transactions if bank mandate is changed with in last 10 days from the date of transaction. AMC reserves the right to modify the procedure of transaction processing without any prior intimation to the Investor.
  - The AMC or Karvy Computershare Private Limited ('Registrar') may seek additional information (Key Information) apart from the available data of the Investor(s) before allowing him access to avail the Facility. If for any reason, the AMC/Registrar is not satisfied with the replies of the Investor(s), the AMC/Registrar has at its sole discretion of the right of refusing access without assigning any reasons to the Investor(s). It is clarified that the Facility is only with a view to accommodate /facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to Facility to Investor(s). The Facility using SMS can be availed only through the registered mobile number of the investor.
  - The AMC shall provide the investor(s) on a periodical basis with account statements, only to the registered email id, which will reflect all the transactions done by the investors during the corresponding period. The Investor(s) shall check his/her account statement carefully and promptly. If the Investor(s) believes that there has been a mistake in any transaction using the Facility, or that unauthorized transaction has been effected, the Investor/s shall notify the AMC immediately. If the Investor(s) defaults in intimating the discrepancies in the statement within a period of 15 days of receipt of the statements, he waives all his rights to raise the same in favour of the AMC, unless the discrepancy /error is apparent on the face of it. By opting for the facility the Investor(s) hereby irrevocably authorizes and instructs the AMC to act as his /her agent and to do all such acts as AMC may find necessary to provide the Facility.
  - The Investor(s) agrees and confirms that the AMC has the right to ask the Investor(s) for an oral or written confirmation of any transaction request using the Facility and/or any additional information regarding the Account of the Investor(s).
  - The Investor(s) agrees and confirms that the AMC may at its sole discretion suspend the Facility in whole or in part at any time without prior notice. The Investor(s) shall not assign any right or interest or delegate any obligation arising herein.
  - The investor(s) shall take responsibility for all the transactions conducted by using the Facility and will abide by the record of transactions generated by the AMC. Further, the Investor(s) confirms that such records generated by the AMC shall be conclusive proof and binding for all purposes and may be used as evidence in any proceedings and unconditionally waives all objections in this behalf.
  - The investor(s) agrees that it shall be his/her sole responsibility to ensure protection and confidentiality of the Key Information and any disclosures thereof shall be entirely at the investor(s)'s risk.
- The cut off timeline for this facility would be half an hour prior to the regulatory cut off timeline i.e. 2.30 pm in case of funds where the SEBI cutoff timeline is 3.00 pm and 1.30 pm in case of funds where the SEBI cutoff timeline is 2.00 pm. If any transaction is received after this cut-off it will be considered for the next business day.
  - The investor(s) confirm that the AMC/Registrar or their delegates shall under no circumstances be liable for any damages or losses, whatsoever, whether such damages or losses are direct, indirect, incidental, consequential and whether such damages are sustained by investor(s) or any other person due to:-
    - any transaction using this Facility carried out in good faith by the AMC on instructions of the Investor(s) or any unauthorized usage/unauthorized transactions conducted by using the Facility;
    - any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever;
    - any negligence / mistake or misconduct by the Investor(s);
    - any breach or non-compliance by the Investor(s) of the rules/terms and conditions stated herein or in the Scheme Information Document or Statement of Additional Information or Key Information Memorandum;
    - acceptance of instructions given by any one of the Investor in case of joint holding having mode of operation as "anyone or survivor";
    - not verifying the identity of the person giving the telephone instructions in the Investor(s) name;
    - not carrying out any such instructions where the AMC has reason to believe (which decision of the AMC, the Investor(s) shall not question or dispute) that the instructions given are not genuine or are otherwise improper, unclear, vague or raise a doubt;
    - carrying out a transaction after such reasonable verification as the AMC may deem fit regarding the identity of the Investor(s) or any case of error in NAV communication;
    - accepting instructions given by any one of the Investor(s) or his / her authorized person.
  - Auto Debit (for purchases) is available through NACH or Direct Debit Facility. By opting for this facility you agree to abide by the terms and conditions subject to which this facility is offered by NPCI/RBI.
  - In case your bank chooses to cross-verify the Auto Debit mandate with you as the Bank's customer, you would need to promptly act on the same. DHFL Pramerica Mutual Fund (DHFL PMF) and its Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank/branch or its refusal to register the mandate or any charges that may be levied by your Bank/Branch on you.
  - You will not hold DHFL Pramerica Mutual Fund (DHFL PMF) / DHFL Pramerica Asset Managers Private Limited (DHFL PAMPL) (erstwhile Pramerica Asset Managers Private Limited) /Trustees to the Fund, its Registrars and other service providers responsible if the transaction is not/incorrectly effected due to incomplete or incorrect instructions from the applicant. Besides, you will not hold either of the entities listed herein before responsible if the transaction is delayed or not effected or the applicant bank account is debited after the specific date due to various clearing cycles of RBI holidays.

## Guidelines for Transactions by SMS:

- To transact in a preferred scheme & folio, investors should send the following sms to 5607030.

Transaction Type	SMS Keyword
Purchase	INVEST <AMOUNT>
Redemption	REDEEM <AMOUNT>
Switch*	SWITCH <AMOUNT>

\* On receipt of the sms, the AMC's Service provider shall call the investors to obtain transaction details.

- To transact in any scheme & any folio, investors should send the following sms to 5607030. On receipt of the sms, the AMC's service provider shall call the investor to obtain transaction details.

Transaction Type	SMS Keyword
Purchase	INVEST
Redemption	REDEEM
Switch	SWITCH



## Important Points To Note For Filling The Mandate

- UMRN is for office use only.
- For registration of the mandate, please select 'Create Mandate on' by ticking in the box provided. (The options, 'Cancel Mandate on' and 'Update Mandate on' are provided for cancellation or updation of bank details, if any.)
- MICR code is the 9 digit code appearing next to the cheque number.
- IFSC code is the 11 digit code appearing on your cheque leaf.
- Mention the maximum amount in both figures and words.
- It is mandatory to mention the 'Starting From' date on the mandate
- Please mention your name along with the signature as in your Bank Records.
- It is mandatory to mention your folio number or application number.
- Incomplete mandate is liable to get rejected.